REMARKS

This Preliminary Amendment cancels, without prejudice, claims 1 to 9 in the underlying PCT Application No. PCT/EP2005/000735 and adds new claims 10 to 23. The new claims, <u>inter alia</u>, conform the claims to United States Patent and Trademark Office rules and does not add any new matter to the application.

In accordance with 37 C.F.R. § 1.125(b), the Substitute Specification (including the Abstract) contains no new matter. The amendments reflected in the Substitute Specification (including Abstract) are to conform the Specification and Abstract to United States Patent and Trademark Office rules or to correct informalities. As required by 37 C.F.R. §§ 1.121(b)(3)(ii) and 1.125(c), a Marked-Up Version of the Substitute Specification comparing the Specification of record and the Substitute Specification also accompanies this Preliminary Amendment. Approval and entry of the Substitute Specification (including Abstract) are respectfully requested.

The underlying PCT application includes an International Search Report, dated May 17, 2005, a copy of which is included. The Search Report includes a list of documents that were considered by the Examiner in the underlying PCT application.

It is respectfully submitted that the subject matter of the present application is new, non-obvious and useful. Prompt consideration and allowance of the application are respectfully requested.

By:

Respectfully submitted,

Clifford A. Ulrich Reg. No. 42,194

KENYON & KENYON LLP One Broadway New York, New York 10004 (212) 425-7200 CUSTOMER NO. 26646

Dated: 54t, 18, 2006

DRIVE AND BRAKE

Description:

10

FIELD OF THE INVENTION

5 The present invention relates to a drive and brake.

BACKGROUND INFORMATION

From DE German Published Patent Application No. 36 13 2947

describes an electromagnetically actuable brake for a motor is known. The brake is operated by a unipolar voltage. Such DC voltage brakes are used in particular with drives that include electromotors.

- From DE German Published Patent Application No. 101 46 896 A1,

 describes a drive system is known that includes a brake and an electromotor, which is supplied with the aid of an output stage.
- From U.S. <u>Patent No.</u> 4,090,117 A, <u>describes</u> a single-phase capacitor motor having a brake is <u>known</u>; the. <u>The</u> coil of the brake configured as power-off brake is excited by an alternating current, which is taken directly from the supply lines of the motor.
- 25 Converters include at least one output stage and control electronics, which operate according to a pulse-width modulation method.

SUMMARY

- 30 The Example embodiments of the present invention is therefore based on the objective of may provide for increasing the safety in industrial drives.
- According to the present invention, the object is achieved in

 the drive according to the features indicated in Claim 1.

 NY01 1234171

 MARKED-UP VERSION OF THE SUBSTITUTE SPECIFICATION

EV 884274198 US

Essential features Features of example embodiments of the present invention in the drive are include that the drive includes at least a brake and an electromotor, which is connected to an output stage with the aid of supply lines.

the The brake being is supplied from a brake control; for.

For its supply, the brake control is connected to the supply lines with the aid of capacitors.

10 It is advantageous in this context that the <u>The</u> supply of the brake control is <u>may be</u> a function of the AC voltages of the supply lines. As a result, the method of functioning of the output stage, i.e., in particular also the method of functioning of a rectifier, inverter or power converter

15 including the output stage, is advantageously <u>may be</u> able to be linked to the method of functioning of the brake. In particular For example, this makes it possible to realize a safety brake which causes the brake to be activated in the event of faults in the output stage or in the mentioned

20 devices.

As a result, the drive is advantageously may be able to be braked should a fault occur. This also applies, in particular, to a voltage failure such as a power failure, for example. One skilled in the art is able to dimension the The capacitors and the brake control as well as the brake may be dimensioned in a manner that is appropriate for a response to the occurrence of the mentioned faults and additional faults.

The drive according to example embodiments of the present invention thus includes at least a brake and an electromotor, which is fed by an alternating current by an output stage via supply lines, the brake being supplied from a brake control which, via at least one capacitor, is connected to at least one of the supply lines and supplied therefrom.

In an advantageous embodiment, the The output stage is may be able to be operated in a pulse-width modulated manner. It is advantageous in this context that the The motor is may not only be able to be supplied but also controlled, and may even be regulated if feedback of sensor signals or other electrotechnical variables takes place.

In an advantageous development, the The brake is may be activated in response to long-lasting occurrences of DC 10 voltages or zero voltages on the supply lines, i.e., brake torque is transmitted to the rotor shaft of the motor or to a shaft connected to the rotor shaft. In particular For example, the brake is activated, i.e., transmits brake torque 15 to the rotor shaft of the motor or to a shaft connected to the rotor shaft, if a critical minimum frequency of the respective time characteristics of the potentials of the supply lines is undershot. It is advantageous in this context that high High reliability acting according to physical laws is may be 20 achievable. For, when When the brake control is not supplied, the current flowing through the solenoid of the brake drops, and a spring force acting counter to the magnetic force is able to press a brake lining against a brake surface.

Instead of undershooting of a frequency, the brake is 25 activated also when critical RMS values of the potentials of the supply lines are undershot, i.e., brake torque is transmitted to the rotor shaft of the motor or to a shaft connected to the rotor shaft. It is advantageous in this 30 context may be provided that reliable deactivation is provided in the event of this type of fault as well.

In an advantageous development, the The brake may also includes include a brake coil having a one-part or two-part design arrangement. It is advantageous may be provided that a cost-effective brake is able to be provided with the one-piece NY01 1234171 3

design <u>arrangement</u>, and that it is possible to provide a brake coil which is able to be activated very rapidly in the case of the two-part brake coil. To this end, a brake control is to be developed which acts according to that <u>described</u>, for <u>example</u>, in DE <u>German Published Patent Application No</u>.

36 13 294, but is supplied from the supply lines with the aid of capacitors.

Essential features Feature of the brake according to example embodiments of the present invention are that it is actuable electromagnetically, brake for an electromotor, which is connected to an end stage, in particular of a converter, an inverter or a similar converter, via supply lines, the brake being is supplied from a brake control, the brake control being connected to the supply lines for its supply, via at least one capacitor.

An advantage <u>aspect</u> of the brake is that it is an electromagnetically actuable brake and the actuation therefore requires an electrical supply. When this supply fails, the brake is activated and the mechanically coupled electromotor is braked or stopped. The brake <u>ean may</u> be released only when an electrical supply is available. This increases the safety of the drive in emergencies or in the case of faults such as a power failure, <u>etc</u>. <u>or the like</u>.

Further advantages are derived from the dependent claims aspects and features of example embodiments of the present invention are described in more detail below with reference to the appended Figures.

10

15

20

25

List of Reference Numerals

LIST OF REFERENCE NUMERALS

- 1 output stage, three-phased
- 2 motor
- 5 3 brake
 - 4 brake control
 - C1 capacitor
 - C2 capacitor
 - C3 capacitor

The present invention will now be explained in detail with the aid of figures:

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 illustrates a drive according to an example embodiment of the present invention.

Figure 2 illustrates a drive according to an example embodiment of the present invention.

10 DETAILED DESCRIPTION

5

15

20

25

30

Figure 1 shows illustrates a drive according to an example embodiment of the present invention. It includes at least one output stage 1 of a converter, a brake 3 having an associated brake control 4, and a motor 2 on whose shaft -- in particular e.g., a rotor shaft or on a shaft connected thereto -- the brake transmits brake torque when it has been activated, i.e., has not been disengaged. The output stage is triggered by control electronics supplied with a 24 V DC voltage.

The converter has an intermediate direct current link from which the switches of output stage 1 are supplied. The switches of the output stage are triggered using pulse-width modulation methods, in such a way that the motor is supplied with a three-phase pulse-width modulated voltage. To this end, the converter at all times supplies at the output of its output stage a three-phase voltage indicator value, i.e., three output potential values that are applied at the three supply lines to the motor. To generate the values, the switches of the output stage establish a brief connection of different lengths to the different potentials of the so-called intermediate direct current link supplying the output stage, for each pulse-width modulation period, connected or separately. The desired potential value is generated as time average across a pulse-width modulation period.

The output stage is embodied provided with safe deactivation. The safety is designed in arranged such a way that it is no longer possible to generate a rotating field once the control electronics of the converter have been turned off, so that no rotation of the rotor, acting from the direction of the converter, is able to be forced. In this way manner, the output stage and the power stage are able to be deactivated in a safe manner. The safe switch-off according to example embodiments of the present invention is also implementable according to DE as described in German Published Patent Application No. 102 06 107 Al or according to DE German Published Patent Application No. 102 07 834 A1. The safe deactivation of the output stage prevents may prevent the formation of a field of rotation. However, this also means that the brake control is without supply.

Brake control 4 includes at least one rectifier, which is supplied in a capacitive manner from the supply lines for the motor with the aid of capacitors C1, C2, C3. The unipolar voltage generated by the rectifier is able to supply the brake. The brake has a two-part design arrangement as described, for example, in DE German Published Patent Application No. 36 13 294.

25 If the control electronics of the converter or its voltage supply fails, or if a corresponding failure or damage occurs, the supply of the electromotor will not function correctly. In particular, three permanent DC voltages or even zero voltages are applied at the motor. This has the result that the supply of the brake control is interrupted since no energy is then transmitted via the capacitive coupling in the case of DC voltages. The solenoid coils of the brake then become currentless, and the brake is activated as a result. This application is effected by the force of spring elements since the previously counteracting force of the energized solenoids is lacking.

10

15

In this way manner, a safety brake is produced, which is, e.g., activated automatically and in a physically completely safe manner, and which generates brake torque as soon as the converter no longer works correctly. In fault-free functioning, AC voltages are at all times applied at the three supply lines between output stage and motor, which have a frequency in the range of more than 1 kHz, in particular e.g., 4 kHz or 8 kHz or 16 kHz. In further exemplary embodiments according to the present invention, it is also possible to provide frequency ranges, in particular e.g., around the mentioned frequencies. Care must should be taken that these AC voltages are also applied when the motor is a synchronous motor and is regulated to a standstill by the converter. even then the three potential or voltages values of the three lines are generated by pulse-width modulation. The potential or voltage value is always the average across a pulse-width modulation period.

- The illustrated DC voltages and zero voltages do not only occur in the described fault cases but also during normal operation when a regular shut-down of the motor is required, for instance example, also during shut-down of the converter.
- In other exemplary embodiments of the present invention, the brake has may also have only one part, in which case only two lines lead from brake control 4 to brake 3.
- In further exemplary embodiments according to the present
 invention, the motor is to be supplied not in a three-phase,
 but in a two-phase manner. Accordingly fewer capacitors are
 may be required to supply brake control 4.
 - In additional exemplary embodiments of the present invention, a reluctance motor, an asynchronous motor or a synchronous motor are may be provided as electromotor.

35

10

In another an exemplary embodiment of the present invention according to as illustrated in Figure 2, it is also possible to provide only one capacitor C1 instead of the three capacitors C1, C2, C3. This makes may make it possible to use fewer parts and to reduce the cost.

The Example embodiments of the present invention is may be applicable in analogous manner to power converters as well.

Abstract

ABSTRACT

A drive, including at least a brake and an electromotor, which is connected to an output stage with the aid of supply lines, the brake being supplied from a brake control, the brake control being connected to the supply lines for its supply, via capacitors.